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PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number ATTORNEY'S DOCKET NUMBER 24876/1 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. 44 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE DATE INTERNATIONAL APPLICATION NO. PCT/US2005/000713 10 January 2005 (10.01.2005) 9 January 2004 (09.01.2004) TITLE OF INVENTION METHOD AND SYSTEM FOR AQUACULTURE PRODUCTION APPLICANT(S) FOR DO/EO/US BRAUMAN, Richard S. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. Main This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  $\boxtimes$ The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. C. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. 🗆 Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Other items or information: Return Receipt Postcard **Small Entity Assertion** 

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U.S. APPLICATION NO. (if known, see 37 CFR INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER							
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The following	ng fe	es are submitt	ed:						CAL	CULATIONS	PTO USE ONLY		
21. 🛛 Basic i	natio	nal fee	· · · · · · · · · · · ·					\$300	\$	\$300.00			
22.  Examination of the satisfy provision of the structure of the structur	relin s of	ninary examina PCT Article 33	ation report p	orepa	ared by USPTO and a	all cla	ims	\$100 \$200	\$	\$100.00			
23. Search Search fee (37 C USPTO as an In International Sea	n fee CFR Itern arch	1.445(a)(2)) h ational Search Report prepar	as been paid ing Authority ed and prov	d on y ided	the international appl to the Office	icatio	on to	the - · · \$100 \$400	\$	\$100.00			
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	Processing fee of \$130.00 for furnishing the English translation later than 30 months from he earliest claimed priority date (37 CFR 1.492(i)).					ths from	\$	\$0.00	•				
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b. 🗵 Please		ge my Deposit		sed.	50-0369 in the	e am	ount	of\$1,2	to cover the above fees.				
c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment													
	to Deposit Account50-0369 A duplicate copy of this sheet is enclosed.  d Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								it card				
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## JC02 Rec'd PCT/PTO 03 JUN 2005

CERTIFICATE OF MAILIN	Docket No.								
Applicant(s) Richard S. Bra	24876/1								
Serial No.	Filing Date	Examiner	Group Art Unit						
To Be Assigned	HEREWITH	To Be Assigned	To Be Assigned						
Invention:  METHOD AND SYSTEM FOR AQUACULTURE PRODUCTION									
I hereby certify that this U.S. 371 NATIONAL STAGE application consisting of:  2 pgs Application Transmittal papers and 1 copy 1 pg Small Entity Assertion 3 pgs Executed Declaration and Power of Attorney \$1225.00 filing fee (CHARGE TO DEPOSIT ACCOUNT 50-0369) Acknowledgement Postcard 9 Total pages submitted (includes Certificate of Express Mail)									
is being deposited with the United States Post Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope to: Mail Stop PCT, Commissioner of Patents, P.O. Box 1450,									
Alexandria, VA 22313-1450									
on June 3, 2005									
Erin K. Duff  (Typed or Printed Name of Person Mailing Correspondence)  (Signature of Person Mailing Correspondence)  EV 400 527 929 US  ("Express Mail" Mailing Label Number)  Note: Each paper must have its own certificate of mailing.									

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